Corporation

Company Tracking Number: 308-51, ET AL.

TOI: L091 Individual Life - Flexible Premium Sub-TOI: L091.001 Single Life

Adjustable Life

Product Name: Nautilus Advantage

Project Name/Number: Nautilus Advantage /308-51, et al.

Filing at a Glance

Company: New York Life Insurance and Annuity Corporation

Product Name: Nautilus Advantage SERFF Tr Num: NYLC-127112225 State: Arkansas
TOI: L09I Individual Life - Flexible Premium SERFF Status: Closed-Accepted State Tr Num: 48440

Adjustable Life For Informational Purposes

Sub-TOI: L09I.001 Single Life Co Tr Num: 308-51, ET AL. State Status: Filed-Closed

Filing Type: Form Reviewer(s): Linda Bird

Authors: Team Leader, Robert

Williams III

Date Submitted: 04/07/2011 Disposition Status: Accepted For

Informational Purposes Implementation Date:

Disposition Date: 04/13/2011

Implementation Date Requested: 06/03/2011

State Filing Description:

General Information

Project Name: Nautilus Advantage

Project Number: 308-51, et al.

Requested Filing Mode: Informational

Explanation for Combination/Other:

Status of Filing in Domicile:

Date Approved in Domicile:

Domicile Status Comments:

Market Type: Individual

Submission Type: Individual Market Type:

Overall Rate Impact: Filing Status Changed: 04/13/2011 State Status Changed: 04/13/2011

Deemer Date:

Submitted By: Robert Williams III

Filing Description:

Re: New York Life Insurance and Annuity Corporation (NYLIAC)

Individual Life Insurance NAIC #: 826 91596 FEIN #: 13-3044743

Universal Life Insurance Policy, form 308-51

Survivorship Universal Life Insurance Policy, form 308-180

State Status Changed: 04/13/2011
Created By: Robert Williams III

Corresponding Filing Tracking Number:

Corporation

Company Tracking Number: 308-51, ET AL.

TOI: L091 Individual Life - Flexible Premium Sub-TOI: L091.001 Single Life

Adjustable Life

Product Name: Nautilus Advantage

Project Name/Number: Nautilus Advantage /308-51, et al.

Dear Commissioner:

We are writing to inform your Department that we will be using two previously approved non-participating flexible premium Universal Life policy forms. These policy forms were recently replaced by a new series of policies for use in the general market. However, we have decided to make these previously approved forms available in the higher net worth market for individuals with estate planning and business succession planning needs. The applicable guaranteed charges and Actuarial Memorandum on file for each of these policies are not changed. Please see the chart below for details.

Form Title Form Number Approval date

Universal Life Insurance Policy (UL) 308-51 8/20/2007 DOI #36176

Survivorship Universal Life Insurance Policy (SUL) 308-180 8/20/2007 DOI #36324

The scheduled introduction date for these products is June 3, 2011.

We hope this information is satisfactory. If you need additional information, please feel free to call me, toll free, at 1-877-464-0198.

Sincerely, Linda E. LoPinto Corporate Vice President Agency Life Operations

Company and Contact

Filing Contact Information

Robert Williams III, Contract Associate III Robert_Williams_III@nyl.com

51 Madison Avenue 212-576-3449 [Phone] Room 606 212-447-4141 [FAX]

New York, NY 10010

Filing Company Information

New York Life Insurance and Annuity CoCode: 91596 State of Domicile: Delaware

Corporation

51 Madison Ave Group Code: 826 Company Type: Life

Corporation

Company Tracking Number: 308-51, ET AL.

TOI: L091 Individual Life - Flexible Premium Sub-TOI: L091.001 Single Life

Adjustable Life

Product Name: Nautilus Advantage

Project Name/Number: Nautilus Advantage /308-51, et al.

New York, NY 10010 Group Name: NYLIC State ID Number:

(212) 576-4809 ext. [Phone] FEIN Number: 13-3044743

Filing Fees

Fee Required? Yes
Fee Amount: \$50.00
Retaliatory? Yes

Fee Explanation:

Per Company: No

COMPANY AMOUNT DATE PROCESSED TRANSACTION #

New York Life Insurance and Annuity \$50.00 04/07/2011 46382536

Corporation

Corporation

Company Tracking Number: 308-51, ET AL.

TOI: L091 Individual Life - Flexible Premium Sub-TOI: L091.001 Single Life

Adjustable Life

Product Name: Nautilus Advantage

Project Name/Number: Nautilus Advantage /308-51, et al.

Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Accepted For Linda Bird		04/13/2011	04/13/2011
Information	al		
Purposes			

Corporation

Company Tracking Number: 308-51, ET AL.

TOI: L091 Individual Life - Flexible Premium Sub-TOI: L091.001 Single Life

Adjustable Life

Product Name: Nautilus Advantage

Project Name/Number: Nautilus Advantage /308-51, et al.

Disposition

Disposition Date: 04/13/2011

Implementation Date:

Status: Accepted For Informational Purposes

Comment:

Rate data does NOT apply to filing.

Corporation

Company Tracking Number: 308-51, ET AL.

TOI: L091 Individual Life - Flexible Premium Sub-TOI: L091.001 Single Life

Adjustable Life

Product Name: Nautilus Advantage

Project Name/Number: Nautilus Advantage /308-51, et al.

ScheduleSchedule ItemSchedule Item StatusPublic AccessSupporting DocumentFlesch CertificationNoSupporting DocumentApplicationNo

Supporting DocumentHealth - Actuarial JustificationNoSupporting DocumentOutline of CoverageNo